



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,369	11/13/2001	Iain Cooke	269/042

## CONFIRMATION NO. 2566

22249  
LYON & LYON LLP  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071

## FORMALITIES LETTER



\*OC000000007175712\*

Date Mailed: 12/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$129.
  - \$45 for 5 total claims over 20.
  - \$84 for 2 independent claims over 3..
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 564.**

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 HARR21 00000114 09991369  
FC:202 FC:203 FC:205 FC:201

888888  
64.45.65.66  
370.00



\$500.00

#4

Patent  
Attorney Docket: 269/042

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: ) Group Art Unit: Not yet assigned  
Inventor: Iain Cooke, et al. ) Examiner: not yet assigned  
Serial No.: 09/991,369 )  
Filed: November 13, 2001 )  
For: Unique ID Management in )  
Disconnected Database Replication )

### TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

#### I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on December 10, 2001, enclosed are:

- Declaration  
 Power of Attorney:  Separate or  Combined with Declaration  
 Assignment of the invention to Tadpole Technology PLC (together with PTO Form 1595)

03/13/2002 HMARZI1 00000114 09991369

02 FC:215  
03 FC:202  
04 FC:203  
05 FC:203  
06 FC:201

55.00 OP

84.00 OP

45.00 OP

65.00 OP

370.00 OP

#### CERTIFICATE OF MAILING

(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Sally Hartwell

Name of Person Mailing Paper

February 25, 2002  
Date of Deposit

Sally Hartwell  
Signature of Person Mailing Paper

- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

**II. REQUEST FOR EXTENSION OF TIME:**

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input checked="" type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00

**Fee**      \$55.00

- If any extension fee is required, please consider this a petition therefor.

**III. FILING FEES**

- Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						\$740.00	
Total Claims	25	-	20	=	5	x \$18.00	\$90.00
Independent Claims	5	-	3	=	2	x \$84.00	\$168.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>		\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>		\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$1,128.00	
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					<input checked="" type="checkbox"/>		\$564.00
Extension of Time (from above)							\$55.00
Assignment -- \$40 (if applicable)					<input checked="" type="checkbox"/>		40.00
<b>TOTAL FEES SUBMITTED HEREWITH</b>						659.00	

**IV. METHOD OF PAYMENT OF FEES:**

- A check in the amount of \$659.00 is enclosed to cover the above fee(s).
- Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.

Patent  
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- The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

By:



William A. English  
Reg. No. 42,515



**22249**

PATENT TRADEMARK OFFICE

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